

## Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application No.	10/667,918			
Filing Date	September 22, 2003			
First Named Inventor	Vincent A. Larsen			
Art Unit	2135			
Examiner Name	Kim Y. Vu			
Attorney Docket Number	24089-09276			

U.S. PATENT DOCUMENTS						
		Document No.				
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
AS	A1	US-2002/0138756 A1	09-26-2002	Makofka et al.		
	A2	US-2002/0073072 A1	06-13-2002	Fukumoto		
	A3	US-5,925,126	07-20-1999	Hsieh		
ZV.	A4	US-4,104,718	08-01-1978	Poublan et al.		

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document				
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T⁴	
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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁵
AS	C1	COHEN, E. et al., "Protection in the Hydra Operating System," Fifth Symposium on Operating Systems Principles, November 19-21, 1975, pp. 141-160, [online] Retrieved from the Internet <url: 10.1145="" 806532="" 810000="" delivery.acm.org="" http:="" p141-cohen.pdf?key1="806532&amp;key2=9644615511&amp;coll=&amp;dl=GUIDE&amp;CFID=15151515&amp;CFTOKEN=6184618"></url:>	
V	C2	SPENCER, R. et al., "The Flask Security Architecture: System Support for Diverse Security Policies," [online] Retrieved from the Internet <url:http: flask.pdf="" papers="" selinux="" www.nsa.gov=""></url:http:>	
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Examiner Signature	/April Ying Shan/	Date Considered	10/06/2006
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INCORMATION DICCI OCUDE	Application No.	10/667,918	
INFERMATION DISCLOSURE	Filing Date	September 22, 2003	
OSTATEMENT BY APPLICANT	First Named Inventor	Vincent A. Larsen	
MAR 3 0 2006 B	Art Unit	2135	
	Examiner Name	Kim Y. Vu	·
theet 1 of 2	Attorney Docket Number	24089-09276	

U.S. PATENT DOCUMENTS							
		Document No.					
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (il- known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
AS	A1	US-6,779,117	08-17-2004	Wells			
1	A2	US-6,745,332	06-01-2004	Wong et al.			
	A3	US-6,606,695	08-12-2003	Kamano et al.			
	A4	Us-2002/0162013 A1	10-31-2002	Burnett et al.			
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	A10	US-6,185,696	02-06-2001	Noti			
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<u> </u>	A17	US-5,684,951	11-04-1997	Goldman et al.			
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\ <del>\</del>	A19	US-5,572,694	11-05-1996	Uchino			
$\overline{V}$	A20	US-5,327,531	07-05-1994	Bealkowski et al.			

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	Foreign Patent Document			
Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T
		Cite Country Code <sup>3</sup> – Number <sup>4</sup>	Cite Country Code <sup>3</sup> – Publication Date No. Number MM-DD-YYYY	Cite Country Code <sup>3</sup> – Publication Date Name of Patentee or No.¹ Number MM-DD-YYYY Applicant of Cited Document

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			Examiner Name	Kim Y. Vu	
Shed PADENANT 2	of	2	Attorney Docket Number	24089-09276	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
AS	C1	International Search Report, PCT/US03/02716, April 4, 2003, 4 pages.	
1 .	CS	Written Opinion, PCT/US03/02716, November 11, 2003, 5 pages.	
V	СЗ	PARK, J.S. et al., "Role-Based Access Control on the Web," ACM Transactions on Information and System Security, pp. 37-71, February 2001, vol. 4, no. 1.	
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PTO/SB/08A (10-01)

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Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	Complete if Known	
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Filing Date	September 22, 2003	
First Named Inventor	Vincent A. Larsen	
Art Unit	2135	
Examiner Name	Kim Y. Vu	
Attorney Docket Number	SAGE-26,476 (24089-09276)	

	·		U.S. PATENT DO	CUMENTS	-	
		, Document No.				
Examiner Initials*	Cite No.'	Number – Kind Code² (if known)	Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document	
AS	A1	US- 6,088,451	07-11-2000	He et al.		

	FOREIGN PATENT DOCUMENTS					
	Foreign Patent Document			•		
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T⁰	
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		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
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Signature	/April Ying Shan/	Considered	10/06/2006

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	Substitute for	form 1449/	A/PTO .		Complete if Known
INFO		N DIS	CLOSURE	Application No.	10/667,918
			PPLICANT	Filing Date	September 22, 2003
SIAI	CIMEIAI	DI A	PLICANI	First Named Inventor	Vincent Alan Larsen
				Art Unit	2135
•				Examiner Name	Not Yet Known
Sheet	1	of	1	Attorney Docket Number	24089-09276

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1	A2	US-6,745,332	06-01-2004	Wong et al.					
	А3	US-2002/0162013 A1	10-31-2002	Burnett et al.					
	A4	US-2002/0026592 A1	02-28-2002	Gavrila et al.					
	A5	US-2001/0056494 A1	12-27-2001	Trabetsi					
	A6	US-2001/0047463 A1	11-29-2001	Kamano et al.					
$\nabla$	A7	US-5,572,694	11-05-1998	Uchino					
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Foreign Patent Document								
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		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
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Examiner Signature	/April Ying Shan/	Date Considered	10/06/2006
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Substitute for form 1449A/PTO Complete if Known Application No. 10/667,918 INFORMATION DISCLOSURE Filing Date September 22, 2003 STATEMENT BY APPLICANT **First Named Inventor** Vincent Alan Larsen Supplemental Art Unit Not yet known **Examiner Name** Not yet known Sheet Attorney Docket Number 24089-09276

			U.S. PATENT DOC	UMENTS
		Document No.		
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2</sup> (if . known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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AS		HALLYN, SERGE E., KEARNS, PHIL, "Domain and Type Enforcement for Linux", [online], [retrieved on 2004-09-21].  Retrieved from the Internet <url: <a="" href="http://www.usenix.org/publications/library/proceedings/als00/2000papers/papers/papers.pp. 1-16">http://www.usenix.org/publications/library/proceedings/als00/2000papers/papers/full. papers, pp. 1-16</url:>					

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of 2 Sheet 1

Complete if Known				
Application Number	10/667,918			
Filing Date	September 22, 2003			
First Named Inventor	Vincent Alan Larsen			
Group Art Unit	To Be Assigned			
Examiner Name	To Be Assigned			
Attorney Docket Number	SAGE-26,476			

		1		U.S. PATENT DOC	UMENTS	
xaminer nitials	Cite No.1	U.S. Patent Number	Code <sup>2</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Peges, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AS		4,901,231		Bishop et al.	02/13/1990	
		4,984,272		McIlroy et al.	01/08/1991	
		5,032,979		Hecht et al.	07/16/1991	
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$-\mathbf{L}$		5,113,442		Moir	05/12/1992	
		5,200,998		Bakaher et al.	04/06/1993	
		5,301,337		Wells et al.	04/05/1994	
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V		5,504,814		Miyahara	04/02/1996	
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	FOREIGN PATENT DOCUMENTS								
<b>5</b>		F	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	Τ	
Examiner Initials	Cite No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>s</sup> ( <i>If known</i> )	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T6	
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<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION
STATEMENT B

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/667,918

Filing Date September 22, 2003

First Named Inventor Vincent Alan Larsen

Group Art Unit To Be Assigned

Examiner Name To Be Assigned

Attorney Docket Number SAGE-26,476

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Sheet 2 of 2 Attorney Docket Number SAGE-26,4

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cile No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2		
AS	1	W. WULF, R. LEVIN, C. PIERSON, "Overview of the Hydra Operating System Development", Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp.i-li, 122-131, Association for Computing Machinery, Inc., New York	_		
	ELLIS COHEN and DAVID JEFFERSON, "Protection in the Hydra Operating System", Proceedings of the Symposium on Operating Systems Principles, November 1975, pp. I-ii, 141-160, Association for Computin Machinery, Inc., New York				
	3	LEE BADGER ET AL., "A Domain and Type Enforcement UNIX* Prototype*, 5th UNIX Security Symposium, June 1995, pp. 127-140,			
	4	H. KRAWCZYK ET AL., "HMAC-MD5: Keyed-MD5 for Message Authentication", Network Working Group Internet Draft, March 1996, pp. i, 1-8	-		
	5	BART PRENEEL, "IP Authentication using Keyed MD5 / The ESP DES-CBC Transform", Katholieke Universiteit Leuven, Belgium, July 1995, pp. 1-4	_		
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	7	Method and Apparatus for Controlling Access to Storage Device, Kamano, et al., Publication No. U.s. 2001/0047463 A1, Publication Date 11/29/2001	-		
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	9	International Search Report, PCT/US03/02716, International Searching Authority (ISA/EP) 04/04/2003			
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Examiner Signature /April Ying Shan/	Date Considered	10/06/2006
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